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Relativistic R-matrix calculations for the electron-impact excitation of neutral molybdenum


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A recent PISCES-B Mod experiment [Nishijima et al., J. Phys. B 43, 225701 (2010)] has revealed up to a factor of 5 discrepancy between measurement and the two existing theoretical models [Badnell et al., J. Phys. B 29, 3683 (1996); Bartschat et al., J. Phys. B 35, 2899 (2002)], providing important diagnostics for Mo I. In the following paper we address this issue by employing a relativistic atomic structure and R-matrix scattering calculations to improve upon the available models for future applications and benchmark results against a recent Compact Toroidal Hybrid experiment [Hartwell et al., Fusion Sci. Technol. 72, 76 (2017)]. We determine the atomic structure of Mo I using GRASP0, which implements the multiconfigurational Dirac-Fock method. Fine structure energies and radiative transition rates are presented and compared to existing experimental and theoretical values. The electron-impact excitation of Mo I is investigated using the relativistic R-matrix method and the parallel versions of the Dirac atomic R-matrix codes. Electron-impact excitation cross sections are presented and compared to the few available theoretical cross sections. Throughout, our emphasis is on improving the results for the $z^5P_{1,2,3} \rightarrow a^5S_2$, $z^7P_{2,3,4} \rightarrow a^5S_1$, and $y^7P_{2,3,4} \rightarrow a^5S_3$ electric dipole transitions of particular relevance for diagnostic work.

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I. INTRODUCTION

Atomic physics relies heavily upon the interplay between the interpretation of experimental measurement and the theoretical calculation that underpins it. Experiment may reveal deficiencies or inconsistencies between previous theoretical models, as is the case for neutral molybdenum, for which the deficiencies or inconsistencies between previous theoretical calculation that underpins it. Experiment may reveal the interpretation of experimental measurement and the theoretical calculation.

II. ATOMIC STRUCTURE

First, we must note the difficulty of modeling such a complex atom due to the presence of half-open 4d subshells in its ground state (ground-state configuration of 4d$^5$5s) and to upgrade to a fully high-Z wall [10]. However, a major disadvantage of using high-Z atoms such as molybdenum (Z = 42) lies in the fact that they have the potential to greatly hinder the performance of the tokamak, arising due to an influx of impurities from the PFCs into the fusion plasma core. Such an occurrence is highly undesirable, since only low concentrations of Mo I can be tolerated within the plasma (~0.1% compared to a concentration of ~10% of carbon [11]) before thermonuclear fusion is quenched. Thus, this influx of impurities must be accurately characterized, requiring electron-impact excitation, electron-impact ionization, and radiative transition data [12], the precision of which is not offered by existing models.

This paper critically assesses the current models for Mo I and presents updated electron-impact excitation and atomic structure data using a fully relativistic approach culminating in the largest R-matrix scattering calculation for neutral molybdenum to date. In the next section we present the atomic structure calculations using the multiconfigurational Dirac-Fock program GRASP0 [13,14]. Fine structure energies and radiative transitions rates are presented and the results are compared to experimental values where available. In Sec. III we present the details and results of our population.

Experimental investigations using Mo I PFCs have been carried out at Alcator C-Mod [4–6]; PISCES-B Mod [7,8]; and the DIII-D tokamak [9]. Furthermore, NSTX-U has plans to install a limited set of molybdenum tiles, with the potential

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excited states. This gives rise to hundreds of fine structure levels and thousands of coupled channels in the subsequent scattering calculations. The energy level spectrum shown in Fig. 1 illustrates the complexity of the atomic structure. Moreover, the presence of strong configuration interaction also greatly affects the difficulty of accurately modeling Mo I. A previous investigation carried out by Trees and Harvey [16] illustrates the strong mixing between states using the \(a^3P\) and \(b^3P\) terms and the \(a^3D\) and \(b^3D\) terms. This strong mixing present in Mo I proved problematic when identifying particular energy levels and transitions in our atomic structure model, especially for the highly excited states.

Our atomic structure was determined from the relativistic, multiconfigurational Dirac-Fock program GRASP\(^{0}\) employing a \(jj\)-coupling scheme for angular momentum and using a Dirac-Coulomb Hamiltonian (in atomic units) of the form

\[
H_D = \sum_i \left(-i c \mathbf{\alpha} \cdot \mathbf{V}_i + (\beta - I_4)c^2 - \frac{Z}{r_i} \right) + \sum_{i<j} \frac{1}{r_{ij}},
\]

where \(\mathbf{\alpha}\) and \(\beta\) are related to the set of Pauli spin matrices, \(I_4\) is the \(4 \times 4\) identity matrix, \(Z\) is the atomic number, \(c\) is the speed of light, \(r_i\) denotes the position of electron \(i\), and \(r_{ij} = |\mathbf{r}_i - \mathbf{r}_j|\) is the interelectronic distance. We employed the extended average level (EAL) method, where we optimize a weighted trace of the Hamiltonian matrix using weights proportional to \((2J + 1)\), giving a reasonably good representation of closely lying states. Our model includes the following 12 nonrelativistic configurations: \(4d^5\{5s, 5p, 6s\}\), \(4d^4\{5s^2, 5s5p, 5p^2, 6s^2\}\), \(4d^6\), \(4d^55s6s^2\), \(4p^45d^6s\), \(4p^24d^7\), and finally \(4p^44d^75s\). This generated 2298 fine structure levels; however, we chose only the first 800 of these to be included in the close-coupling expansion, providing us with a comprehensive target description up to 10 eV. The significance of such an energy range is given by Badnell et al. [2], who reports on the fractional abundance of both Mo I and Mo II, showing that the abundance of Mo I is dominant below energies of approximately 10 eV, while Mo II is dominant at higher energies.

Correlating our theoretical levels with the experimental energies given in the NIST database [17] was difficult given the large amounts of mixing. However, samples of data are given in Table I. It is noted that due to the very large number of fine structure levels, only the 25 lowest even levels and 25 lowest odd levels arising from the \(4d^55s\), \(4d^45s^2\), \(4d^55p\), and \(4d^45s5p\) configurations (shown clearly in Fig. 1) are reported to give an indication of the accuracy of our model.

For the odd parity levels (numbered 26–50 in Table I) we have an average percentage error of 9\% between the current results and NIST values, with errors of 0.1\% at best and 13\% at worst. The even parity levels (numbered 1–25 in Table I) are not as well represented, having an average error of 29\% and errors ranging from 3\% up to 41\%. The largest source of error arises due to the \(a^3D_1\) and \(a^3D_2\) levels mentioned previously.

In Table II we present samples of some of the strongest (greater than \(10^8\) s\(^{-1}\)) radiative transition rates \((A_{ji})\) obtained from our model compared to the experimental values given by Whaling et al. [18] after shifting the GRASP\(^{0}\) energies to experimental values. This energy shifting is carried out to ensure that our theoretically produced spectrum will yield wavelengths in agreement with experiment and is carried through to the collision calculation. We see very good agreement between the current and experimental values for...
The rates shown are obtained after shifting energies to experimental values.

**III. ELECTRON-IMPACT EXCITATION**

**A. R-matrix theory**

Within the framework of R-matrix theory [19] we partition configuration space into two distinct regions, an inner region and an outer region, separated by an $\hat{R}$-matrix boundary at

\[ \lambda (\text{Å}) \]

<table>
<thead>
<tr>
<th>Transition</th>
<th>$j \rightarrow i$</th>
<th>Current</th>
<th>Whaling</th>
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<td>4.20 x 10^6</td>
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<td>33 – 1</td>
<td>2.63 x 10^6</td>
<td>1.23 x 10^6</td>
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<tr>
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</tr>
<tr>
<td>5888.32</td>
<td>33 – 6</td>
<td>6.78 x 10^7</td>
<td>7.30 x 10^7</td>
</tr>
</tbody>
</table>

the $\zeta P'_{2,3,4} \rightarrow a^4S_3$ (labeled 26,27,28 → 1 in Table II) with an average percentage difference of 9%. The $\zeta P'_{2,3,4} \rightarrow a^4S_5$ transitions (labeled 32,33,35 → 2) agree reasonably well, having an average difference of 45%. Finally, although the $\gamma P'_{2,3,4} \rightarrow a^4S_3$ transitions (labeled 41,42,44 → 1) are not as well represented, having an average difference of 58%, they are still in reasonable agreement with experimental values. Considering all transitions yields an average percentage difference of 47%. These transitions of experimental interest are illustrated clearly in Fig. 2.

An assessment of the accuracy of this model in relation to previous calculations will be presented alongside the results of the R-matrix scattering calculation in Sec. III C.
The electron-impact excitation calculations for Mo I were carried out with the parallel suite of fully relativistic $R$-matrix codes (DARC) using the GRASP$^{b}$ model as the $N$-electron target. As mentioned in Sec. II, 800 levels out of the 2298 level GRASP$^{b}$ structure were retained in the scattering calculation, resulting in a very computationally demanding model that required thousands of cores on large supercomputing facilities. The $R$-matrix boundary was set at 29.76 atomic units, and 15 continuum basis orbitals were used for each value of angular momentum $J$. Target energies were shifted to the experimental values given in the NIST database, as mentioned in Sec. II, by adjusting the diagonal elements of the target Hamiltonian ensuring wavelengths are in agreement with experiment. Calculations were performed for 60 $\pi$ partial waves from $2J = 1$ up to $2J = 59$ giving Hamiltonian matrices of sizes up to 86 250 $\times$ 86 250 and generated up to 5750 coupled channels.

For low $J\pi$ partial waves from $2J = 1$ up to $2J = 25$, a mesh of 10 000 energy points with an energy spacing of $6.41 \times 10^{-5}$ Ryd was used. For higher partial waves from $2J = 27$ up to $2J = 59$ a much coarser mesh of 250 points with energy spacing $2.56 \times 10^{-3}$ Ryd was used. A “top-up” procedure described by Burgess [21] was then employed to estimate the contributions of partial waves $2J > 59$. This mesh provides an energy range of approximately 0–10 eV. An additional 300 energy points were used to span the 10–20 eV range, with an energy spacing of $2.47 \times 10^{-3}$ Ryd, used for all partial waves $2J = 1$ up to $2J = 59$, again with the “top-up” procedure employed for $2J > 59$.

C. Results and discussion

In Figs. 3–5 we present excitation cross sections (in units of Mb) from this 800 state, fully relativistic $R$-matrix scattering calculation. These are compared with the results of the two previous calculations in $LS$ coupling, for which we must statistically average our fine structure results. We focus on the $a^7S \rightarrow z^7P^0$, $a^7S \rightarrow y^7P^0$, and $a^5S \rightarrow z^5P^0$ electric dipole transitions of interest to diagnostic work. One comparison will be made with the results of Badnell et al. [2], who carried out nonrelativistic 3 state and 6 state $R$-matrix calculations for the dipole and intercombination transitions, respectively, using only the $a^7S$, $a^5S$, $z^7P^0$, $z^5P^0$, $y^7P^0$, and $y^5P^0$ terms. The other comparison will be with the work of Bartschat et al. [3], who carried out a nonrelativistic 67 state $R$-matrix calculation including only septet, quintet, and triplet terms below 7 eV.

It is evident from Fig. 3 that our excitation cross section for the $a^7S \rightarrow z^7P^0$ excitation is smaller than those presented by both [2] and [3]. However, we see good agreement in shape.
between our cross section and the one presented by [3]. These variations potentially arise due to differences in the target structures used and the specific details of the scattering calculations. We compare the transition rate (in \(LS\) coupling) from our atomic structure calculation (after statistically averaging the fine structure values in Table II) with the value given by [2] (after shifting the reported rates to experimental energy differences), the value given by [3], and the experimental value given by Whaling et al. [18] (again, after statistically averaging the fine structure values). Our \(\text{GRASP}^0\) structure gives an \(A\)-value of \(7.12 \times 10^7\ \text{s}^{-1}\) compared to the experimental value of \(6.49 \times 10^7\ \text{s}^{-1}\), and the values of \(2.01 \times 10^8\ \text{s}^{-1}\) and \(1.62 \times 10^8\ \text{s}^{-1}\) reported by [2] and [3], respectively. We see very good agreement with the experimental result of [18].

We see from Fig. 4 that our excitation cross section for the \(a^3S \rightarrow y^3P^o\) excitation agrees reasonably well with that of [2] up to approximately 10 eV, after which they begin to diverge. In contrast, no agreement is seen with the cross section presented by [3]. Again, we look at differences in the targets used. The current \(\text{GRASP}^0\) model gives a spontaneous emission rate of \(2.81 \times 10^8\ \text{s}^{-1}\) compared to the experimental value of \(1.58 \times 10^8\ \text{s}^{-1}\), and values of \(1.88 \times 10^8\ \text{s}^{-1}\) and \(0.73 \times 10^8\ \text{s}^{-1}\) reported by [2] and [3], respectively. It is clear that the small cross section presented by [3] is consistent with their \(A\)-value being lower than the experimental value.

Finally, in Fig. 5, it is evident that our cross section for the \(a^3S \rightarrow z^5P^o\) excitation falls below the one presented by [2]. Our \(\text{GRASP}^0\) model yields an \(A\)-value of \(5.42 \times 10^7\ \text{s}^{-1}\) compared to the experimental value of \(3.44 \times 10^7\ \text{s}^{-1}\), these in comparison with the value of \(1.64 \times 10^8\ \text{s}^{-1}\) reported by [2]. Again, good agreement between the radiative transition rates can be seen with the experimental result of [18]. No excitation cross section or transition rate is reported by [3] and thus no comparison can be made.

IV. MOLYBDENUM SPECTRA

A. Experiment

As an illustration of the use of the new atomic data, spectral measurements of Mo emission were acquired with the Compact Toroidal Hybrid plasma experiment (CTH) at Auburn University [1]. A vertically translating probe with a Mo tip was constructed and inserted into the edge of CTH plasmas with an optical line of sight observing emission from the Mo-plasma interaction. Stellarnet survey spectrometers sensitive between 300–400 nm and 400–600 nm are coupled by an optical fiber to collection optics mounted opposite to the translating probe and focused on the Mo probe tip. While the CTH plasma parameters depend on the specific operating conditions, the electron density and temperature of the plasma in the region around the Mo probe tip for the measurements shown herein are expected to be \(T_e\) from 1 to 10 eV and \(n_e \approx 10^{12}\ \text{cm}^{-3}\). Observed plasma-Mo emission spectra are shown for the Mo I triplet sets listed as (i) and (ii) in the Introduction, see Fig. 6.

B. Modeling

Using the collision strengths (\(\Omega_{ij}\)) obtained from the \(R\)-matrix scattering calculation and the radiative transition rates (\(A_{ji}\)) from the atomic structure calculation we construct
a ground state resolved collisional-radiative model using collisional-radiative theory [22] to determine the populations of the excited levels $N_j = N_j/N_1$, normalized to the ground state. In turn, these populations allow the calculation of the photon emissivity coefficients (PECs) (in units of number of photons cm$^3$ s$^{-1}$) defined as

$$\mathcal{PE}_j,1 \to j = A_{ji} F_j,1 = -A_{ji} \sum_{k>1} (C_{jk}')^{-1} C_{ki}. \quad (6)$$

Here, $F_j,1$ is the effective contribution to excited level $j$ through collisional excitation from the ground level, $C_{ij}$ is the collisional-radiative matrix, obtained from balancing the excitation and radiative decay rates, and $C_{ij}'$ is the reduced collisional-radiative matrix with the ground state row removed.

Further details on the collisional-radiative equations can be found in Ref. [23].

In Fig. 6 we show a plot of the PECs calculated using our structure and collision data, covering the lines in the 379–391 nm range and the 550–558 nm range and for the electron temperature and density values expected in CTH in the region of the Mo probe. We compare with a spectrum obtained from the CTH experiment, as described in the previous section. It is clear that there is very good agreement with the strongest $^7$Po$_{2,3,4} \to a^7S_3$ and $^5$Po$_{1,2,3} \to a^5S_2$ transitions. This high level of agreement gives credence to the accuracy of the present atomic structure data. It must be noted that additional peaks are seen in the experimental spectrum due to the presence of impurities within the plasma. A full comparison of the CTH spectrum and the new theoretical data will be the subject of future work and will include investigations of the other Mo lines that were observed, the sensitivity of the lines to plasma electron temperature and density, and the role of metastable states. The full set of data used here will also be made available on the OPEN-ADAS site [24].

V. CONCLUSIONS

In this work we have carried out extensive atomic structure and electron-impact excitation calculations for neutral molybdenum. We have highlighted the incomplete nature of the two existing models and improved upon these, helping to rectify the current discrepancies between theory and experiment. The atomic structure was investigated using the relativistic multiconfigurational Dirac-Fock method and results are compared to available experimental data, with a particular focus on the transitions of spectroscopic interest. The atomic structure was then carried through to an 800 state relativistic $R$-matrix scattering calculation, and excitation cross sections are compared to the results of two available theoretical models. Comparisons show large differences between the new and previous cross sections, highlighting the deficiencies contained within the previous models.

Collisional-radiative theory was employed to calculate photon emissivity coefficients which allowed us to validate and benchmark the new atomic structure and excitation results with experimental data obtained from a recent CTH experiment, showing good agreement across a wide wavelength range. We have identified the strongest $^7$Po$_{2,3,4} \to a^7S_3$ and $^5$Po$_{1,2,3} \to a^5S_2$ lines that will be useful for diagnostic work, the radiative transition rates for which are in better agreement with experimental values than previous calculations. In addition, given
the high level of accuracy, we believe that the $^{27}P^e_{2,3,4} \rightarrow a^7S_3$ lines will also be useful for diagnostic work.

The data presented in this study, coupled with new $R$-matrix electron-impact ionization calculations, will be used in further work to diagnose the influx of high-$Z$ impurities into magnetically confined fusion plasmas and will be useful for other applications of neutral molybdenum requiring structure and collision data of high accuracy.

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