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The Relationship Between Plasma Concentrations of Lutein and Zeaxanthin with Self-Reported and Actual Prevalence of AMD in an Irish Population-Based Sample

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The indirect costs include the loss of central vision if untreated or if untreatable. Early (non-advanced) AMD is characterized by drusen and/or pigmentary abnormalities; whereas, the late (advanced) form of AMD is visually consequential and can be classified as atrophic (geographic atrophy or dry) or neovascular (choroidal neovascularization or wet). AMD is the leading cause of irreversible blindness in the older population, especially in developed countries. We have recently shown that the overall prevalence of any form of AMD (i.e. early or advanced) in adults aged 50 years or older in the Republic of Ireland (ROI) is 7.2% (census-weighted). The incidence and prevalence of AMD will continue to rise because of increasing longevity and because of the growing world population.

The global projection of people with AMD is estimated at 196 million by 2020, further increasing to 288 million by 2040.

The loss of central vision in patients afflicted with AMD has a dramatic and adverse impact on their quality of life. For example, the impact of vision loss associated with AMD may result in an inability to drive, to read, to recognize faces, or to watch television, with a consequential loss of social independence and increasing need for family support, which is a major concern in the context of an advancing population. The financial burden of vision loss and/or impairment may be classed as direct or indirect. The indirect costs include the loss of the patient’s income, the cost of care-givers, nursing homes and other costs (e.g. transport, etc.). Direct costs include hospital care, outpatient and office visits, optometry costs, ambulatory costs, home health care, and all other costs (e.g. travel, etc.)
drugs and other direct expenses.\textsuperscript{10} Currently, there is no effective treatment for atrophic AMD, whereas neovascular AMD is treated by intravitreal injections of anti-vascular endothelial growth factor (anti-VEGF) therapy, which has been shown to dramatically reduce the risk of vision loss,\textsuperscript{11} but at an average annual cost per eye per year of $24,000.\textsuperscript{12}

Established risk factors for AMD include increasing age, family history of disease (genetic background), and tobacco use; whereas, exposure to short-wavelength (blue) light, obesity, cardiovascular disease and diet (antioxidant status) are described as putative risk factors for this condition.\textsuperscript{13} Although the etiopathogenesis of AMD remains elusive, we now know that oxidative stress is a key factor in the development of this disease.\textsuperscript{14,15} Indeed, and over the last few decades, there has been a growing body of research investigating the protective role of carotenoids for AMD,\textsuperscript{16} which culminated in the publication of the Age-Related Eye Disease Study (AREDS) 2 in 2013.\textsuperscript{17,18} Specifically, it has been demonstrated that supplementation with at least two of the three macular carotenoids (lutein [L], zeaxanthin [Z]) in association with co-antioxidants (vitamin C, vitamin E, zinc, and copper) reduces the risk of progression from intermediate AMD to advanced AMD.\textsuperscript{17,18} Moreover, a recent double-blind, randomized clinical trial reported that, in patients with early AMD, supplementation with all three of macular pigment’s constituent carotenoids in a \textit{meso}-zeaxanthin [MZ]:L:Z (mg) ratio of 10:10:2 enhances visual performance and is non-inferior (in terms of macular pigment augmentation) to the AREDS 2 formulation.\textsuperscript{19} Interestingly, carotenoids are entirely of dietary origin and, therefore, their concentration in plasma and consequential bioavailability for uptake by the retina is dependent on consumption of foods containing these nutrients (such as leafy greens and colored fruits and vegetables) or supplements.

The association between plasma concentrations of L and Z with diet, age, ethnicity, and AMD status has been previously reported.\textsuperscript{20–23} However, the current investigation, conducted as part of the Irish Longitudinal Study on Ageing (TILDA) study, see below, is the first population-based study to report on the relationship between plasma concentrations of L and Z and grading-confirmed AMD while investigating the impact of self-reporting of AMD, supplement use and plasma concentrations of the relevant carotenoids.

\section*{Materials and methods}

\subsection*{Study design}

TILDA is a nationally representative, longitudinal study of the health, economic and social status of 8,175 adults aged 50 years and over in the ROI. The design and methodology of TILDA are described in detail elsewhere.\textsuperscript{24} In brief, a nationally representative sample of community-dwelling adults was drawn from the Irish Geodirectory, a comprehensive record of all residential addresses in the ROI. Addresses were selected by means of RANSAM (a random sampling design for the ROI) using a three stage process where all household residents aged 50 years or older were eligible to participate.\textsuperscript{24}

\subsection*{Participants}

Wave 1 consisted of three separate components: (1) a face-to-face interview using a computer assisted personal interview (CAPI); (2) a self-completion questionnaire (SCQ); 3) a health assessment carried out in either a dedicated health center (based in Dublin and Cork) or, alternatively, a modified assessment was carried out in the participant’s own home if he/she was unable/unwilling to travel to the health center. Retinal photographs were not obtained from participants who opted not to attend a health center assessment (n = 3,140). Wave 1 health assessment had an overall response rate of 62\% (i.e. 62\% of 8,175 = 5035). Figure 1 illustrates TILDA participants included in this investigation.

This study was approved by the Faculty of Health Sciences Research Ethics Committee of Trinity College Dublin and the local Ethics Committee at the Waterford Institute of Technology. All participants provided signed informed consent prior to enrolment in the study. The study was conducted in accordance with the tenets of the Declaration of Helsinki regarding research into human volunteers.

\subsection*{Interview}

Participants completed a CAPI, which was carried out by a trained social interviewer.\textsuperscript{24} Participants were asked whether, to their knowledge, a doctor’s diagnosis of AMD had been made in their individual case and, whether, to their knowledge, there was a family history of AMD. A list of medications, including food supplements consumed on a daily basis, was recorded for each participant. The exact wording of the question was as follows: “MD001: Now I would like to record all medications that you take on a regular basis, like every day or every week. This will include prescription and non-prescription medications, over-the-counter medicines, vitamins, herbal and alternative medicines.” For the purpose of the current analysis, information on supplements that contained at least one of the three constituent carotenoids of macular pigments (i.e. either L and/or Z and/or MZ) was also recorded, and this was then coded as yes or no (and henceforth referred to as supplement use).

\subsection*{Retinal photographs}

All retinal photography was performed by TILDA nurses, who were trained and certified by experts from the Ocular Epidemiology Reading Centre at the University of Wisconsin, Madison, USA. One 45° monoscopic color photograph, centered on the macula (EDTRS standard field 2) was obtained for each eye using the NIDEK AFCE-210 non-mydriatic auto-fundus camera through a non-dilated pupil. Pupil dilation was not feasible for this large study, given that participants had to undergo many other tests, some of which would have been adversely influenced by pupil dilation (e.g. gait assessment).
AMD grading

Retinal photographs were graded using a modified version of the International Classification and Grading System for AMD. Early (non-advanced) AMD was defined as the presence of more than 10 hard drusen (< 63 µm) and/or the presence of soft drusen (>125 µm). Late (advanced) AMD was defined as the presence of atrophic AMD and/or neovascular AMD.

Plasma L and Z assessment

The TILDA protocol for non-fasting venous blood sample collection, processing and storage has been described previously. In summary, 1 ml of plasma wrapped in tinfoil was stored at −80°C and dedicated to carotenoid assessment. L and total Z (zeaxanthin and meso-zeaxanthin) was analyzed using a reversed phase high performance liquid chromatography (HPLC) method. Details of the extraction procedures and HPLC analysis that we used have been previously described.

Statistical analysis

The statistical package IBM SPSS Statistics for Windows Version 22.0 was used for analysis. In an earlier report of this TILDA cohort, we found that AMD prevalence was linked to age and family history of AMD. In the current analysis, we investigated the respective relationships between self-reported AMD (justified and unjustified), use of supplements containing at least one of the three macular carotenoids and mean plasma concentrations of L and Z. The statistical methods employed included contingency table analysis and post hoc analysis of variance. Accordingly, and along with plasma concentrations of L and Z, we incorporated these variables into our logistic regression models for this current study. However, we did not incorporate other variables, such as sex, education, BMI, and so on, as these were not found to be significantly related to AMD in the earlier report of this cohort. The 5% level of significance was used throughout, without adjustment for multiple testing.

Results

Demographic, health and lifestyle characteristics for the 4,423 TILDA participants reported herein are presented in Table 1. The participants’ mean age was 61 ± 8 years; and 231 participants (5.2%) reported a family history of AMD. 288 participants (6.5%) exhibited signs of early or late AMD (early AMD: n = 273; 6.2%; late AMD: n = 15; 0.34%). Because of the small numbers of cases of late AMD, cases of early (non-advanced) and late AMD were combined in subsequent analyses (and henceforth referred to as AMD). As previously reported in this sample, increasing age and a positive family history of AMD were strongly associated with prevalence of AMD.

Using logistic regression, the association between grading-confirmed AMD and plasma concentrations of L and Z, in each case controlling for age and family history of AMD, were investigated. The relationship between AMD and plasma L and Z...
was positive and highly significant ($p < 0.001$), demonstrating that AMD is associated with higher plasma concentrations of L in this cohort. There was no significant relationship between plasma concentration of Z and AMD ($p > 0.05$).

This unexpected association between AMD and plasma concentrations of L prompted us to explore whether self-reported family history of AMD and/or self-report of AMD (whether justified or not) were associated with use of supplements containing at least one of the three macular carotenoids, which in turn might have contributed to our observations. In our study cohort, 231 participants (5.2%) reported a family history of AMD and 102 participants (2.3%) reported use of supplements containing at least one of the three macular carotenoids (supplement use). A small number of participants ($n = 65; 1.5%$) believed that they were not afflicted with AMD (i.e. grading negative/self-report negative); 41.7% and 17.1% of participants in Groups 1 and 3, respectively, were using a supplement containing at least one of the three macular carotenoids, and this compares with only 2.3% and 1.9% of Groups 2 and 4, respectively ($p < 0.001$, Pearson Chi-Square). Similarly, 9.1% of participants who self-reported a family history of AMD reported use of a supplement containing at least one of the three macular carotenoids, compared with just 1.9% who did not report a family history of AMD ($p < 0.001$, Pearson Chi-Square).

### Discussion

The principal and novel finding in this population-based study was that participants who believed that they suffer from AMD (and irrespective of whether this belief is founded or unfounded) exhibit higher plasma concentrations of L than participants who do not believe they suffer from this condition (again, irrespective of whether this latter belief is justified or unjustified). Our findings have profound implications for epidemiologic studies investigating the prevalence of, and risk factors for, AMD; moreover, our findings also inform the debate regarding the appropriateness of introducing a screening program for non-advanced AMD.

In this report, plasma concentrations of L in Group 1 (grading positive/self-report positive) were 2.2 times greater than among participants in Group 2 (grading positive/self-report negative).
report negative) and 2.3 times greater than participants in Group 4 (grading negative/self-report negative). Also, plasma concentrations of L in Group 3 (grading negative/self-report positive) were 1.5 times greater than among participants in Group 2 (grading positive/self-report negative) and 1.6 times greater than participants in Group 4 (grading negative/self-report negative). Further, our results also strongly suggest that these findings are attributable to greater use of a supplement containing at least one of the three macular carotenoids among those who believe (correctly or incorrectly) that they suffer from AMD. With respect to plasma Z concentrations, only Group 1 (grading positive/self-report positive) had significantly higher concentrations of Z when compared with the other groups. One possible explanation for this observation could rest on the fact that this group consisted solely of participants correctly reporting doctor-diagnosed AMD, and therefore more likely (perhaps) to secure a recommendation to consume a supplement that included at least two of the three macular carotenoids (including Z) and not merely a L-containing formulation.

Figure 2. Mean plasma concentrations of lutein and total zeaxanthin of subgroups in this investigation. Plasma lutein and zeaxanthin concentrations measured by high performance liquid chromatography. Group 1 (n = 24): grading-confirmed AMD in association with self-reported AMD; Group 2 (n = 264): grading-confirmed AMD in the absence of self-reported AMD; Group 3 (n = 41): grading-confirmed absence of AMD in association with self-reported AMD; Group 4 (n = 4094): grading-confirmed absence of AMD in association with self-reported absence of AMD. Grading-confirmed AMD, retinal photographs were graded by a certified grader using a modified version of the International Classification and Grading System for AMD; Self-reported AMD, participants were asked whether a doctor had diagnosed them with AMD.

Of the 288 participants with grading-confirmed AMD, 264 participants (92%) were unaware that they were afflicted with the condition (Group 2), an unsurprising finding given that patients with non-advanced AMD are typically unaware of their condition because vision is only profoundly affected if and when the disease progresses to the advanced stage and given that 273 participants (95%) with grading-confirmed AMD in this study suffered from the early (non-advanced) form of the condition.

With respect to epidemiologic studies reporting on serum concentrations of L and/or Z, it would appear that some cross-sectional studies may now need to be reinterpreted in light of our novel findings. For example, reference values for plasma carotenoids published after 1999 may now need to be revisited. One could exclude participants with AMD, participants who believe that they suffer from AMD, participants with a family history of AMD and also participants who use a supplement containing at least one of the three macular carotenoids, for the purposes of generating reference values, but this measure would necessarily render the sample non-representative of the population at large and would exclude a sub-population that is of particular interest. Another concern arising from our findings rests on the interpretation of cross-sectional epidemiologic studies attempting to investigate a possible association between macular pigment’s constituent carotenoids and the prevalence of AMD. Such studies are, in any case, inherently problematic, not least because macular pigment’s constituent carotenoids are intracellular compounds and AMD (whether non-advanced or advanced) results in loss of photoreceptors and their axons. In other words, the principal shortcoming of cross-sectional studies in this respect rests not only on the impossibility of determining causality, but also because it is very likely that AMD causes loss of “housing” to accommodate macular pigment (and, therefore, a lack of macular pigment in association

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with the disease is probably the result [and not the cause] of the disease; accordingly, cross-sectional studies investigating possible relationships between AMD and macular pigment are subject to greater confounding than those investigating possible relationships between AMD and serum concentrations of macular pigment’s constituent carotenoids. Nevertheless, and with full appreciation of the limitations of associative studies, there have been no less than 12 cross-sectional reports attempting to investigate the relationship between serum concentrations of macular pigment’s constituent carotenoids and the risk for AMD (see Table 2). Further, and notwithstanding the fact that many of these cross-sectional studies were performed in the pre-AREDS 2 era, it should be appreciated that lutein-containing supplements were commercially available since 1999, and since that date their use grew substantially as a result of widespread dissemination of their putative benefits. Meaningful comment on any such relationship should be predicated, therefore, on population-based studies where data were recorded pre-1999 and to subsequent population-based studies where the use of carotenoid-containing supplements was recorded and appropriately factored into analyses. Three of four (75%) population-based studies using data recorded pre-1999 found an inverse relationship between AMD and serum concentrations of L and/or Z, and this compares with none of one (0%) population-based studies utilizing data recorded after 1999 where supplement use was recorded and factored into analyses (see Table 2).

The findings reported herein also have implications for the debate regarding the appropriateness of introducing a screening program for non-advanced AMD. A screening program can only be justified when there is a proven intervention for subjects with pre-disease or asymptomatic disease, and who are identified by use of a test that is sensitive (i.e. few false negatives) and specific (i.e. few false positives). Accordingly, non-advanced AMD would appear to be an ideal candidate for a screening program, especially given our findings that awareness of the condition is associated with supplement use and consequentially increased plasma concentrations of L. Indeed, a screening program for non-advanced AMD could avail of existing infrastructures (community-based cameras, centralized reading center, etc.), which had been shown to be efficacious and cost-effective for the purposes of screening for diabetic retinopathy (although it would need to be extended to the entire population aged 50 years and older, which represents a substantially greater number of participants than the diabetic population).

Further, and beyond risk-reduction for disease progression and visual loss, it is important to emphasize that antioxidant supplements also confer visual benefits in patients with non-advanced AMD in the short- and medium-term, and are therefore not solely aimed at risk reduction of ultimate disease progression. Indeed, in the recent study by Akuffo et al, antioxidant supplementation in patients with non-advanced AMD over a 24-month period was shown to enhance vision in non-advanced AMD patients (a condition traditionally associated with progressive visual loss), reflected in statistically significant improvements in contrast sensitivity, glare disability, photostress recovery, and reading speed. These improvements are not trivial, and improve quality of life in patients with non-advanced AMD, as well as reducing the risk of adverse and vision-related insults to health (e.g. falls and hip fracture).

Accordingly, establishment of a screening program would facilitate appropriate disease-retarding, sight-saving and

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**Table 2. Summary of cross-sectional studies designed to investigate a possible relationship between age-related macular degeneration and serum concentrations of macular pigment’s constituent carotenoids.**

<table>
<thead>
<tr>
<th>First Author, publication year</th>
<th>Period of data collection</th>
<th>Sample size</th>
<th>Type of study</th>
<th>Correlates under investigation</th>
<th>Use of L and/or, Z and/or MZ containing supplements</th>
<th>Principal finding</th>
</tr>
</thead>
<tbody>
<tr>
<td>EDCC Study Group, 199323</td>
<td>1986-1990</td>
<td>968</td>
<td>C-C</td>
<td>Serum L and Z (combined)</td>
<td>Data not recorded</td>
<td>Inverse relationship with serum L and Z</td>
</tr>
<tr>
<td>Mares-Perlman et al., 199533</td>
<td>1988-1990</td>
<td>334</td>
<td>C-C</td>
<td>Serum L and Z (combined)</td>
<td>Data not recorded</td>
<td>No relationship identified</td>
</tr>
<tr>
<td>Mares-Perlman et al., 200127</td>
<td>1988-1994</td>
<td>8,222</td>
<td>P-B</td>
<td>Serum L and Z (combined)</td>
<td>Data not recorded</td>
<td>Inverse relationship with serum L and Z*</td>
</tr>
<tr>
<td>Sanders et al., 199334</td>
<td>1991 &amp; 1992</td>
<td>130</td>
<td>C-C</td>
<td>Serum L</td>
<td>Data not recorded</td>
<td>No relationship identified</td>
</tr>
<tr>
<td>Moeller et al., 200638</td>
<td>1994-98 &amp; 2001-04*</td>
<td>1,787</td>
<td>P-B</td>
<td>Serum L and Z</td>
<td>Data not factored</td>
<td>No relationship identified</td>
</tr>
<tr>
<td>Gale et al., 200339</td>
<td>1996-1997</td>
<td>380</td>
<td>P-B</td>
<td>Serum L and Z</td>
<td>Data not recorded</td>
<td>Inverse relationship with serum Z</td>
</tr>
<tr>
<td>Delcourt et al., 200640</td>
<td>1996-1997</td>
<td>640</td>
<td>P-B</td>
<td>Serum L and Z</td>
<td>Data not recorded</td>
<td>Inverse relationship with serum L and Z</td>
</tr>
</tbody>
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<tr>
<th>First Author, publication year</th>
<th>Period of data collection</th>
<th>Sample size</th>
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<th>Use of L and/or, Z and/or MZ containing supplements</th>
<th>Principal finding</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dasch et al., 200536</td>
<td>2001-2003</td>
<td>910</td>
<td>C-C</td>
<td>Serum L and Z (combined)</td>
<td>Data recorded and factored into analyses</td>
<td>No relationship identified</td>
</tr>
<tr>
<td>Simonelli et al., 200237</td>
<td>2005-2006</td>
<td>94</td>
<td>C-C</td>
<td>Serum L and Z (combined)</td>
<td>Data not recorded</td>
<td>No relationship identified</td>
</tr>
<tr>
<td>Michikawa et al., 200938</td>
<td>2005-2006</td>
<td>722</td>
<td>P-B</td>
<td>Serum L and Z (combined)</td>
<td>Data not recorded</td>
<td>No relationship identified</td>
</tr>
<tr>
<td>Cardinault et al., 200539</td>
<td>2005-2006</td>
<td>55</td>
<td>C-C</td>
<td>Serum L and Z</td>
<td>Data not recorded</td>
<td>No relationship identified</td>
</tr>
<tr>
<td>Zhou et al., 201140</td>
<td>2007-2008</td>
<td>263</td>
<td>C-C</td>
<td>Serum L and Z (combined)</td>
<td>Data recorded and factored into analyses</td>
<td>Inverse relationship with serum Z</td>
</tr>
</tbody>
</table>

Publications organized by publication date in the absence of authors reporting period of data collection; solid line indicates the year (1999) that lutein-containing supplements were commercially available; principal finding is italicized when an inverse relationship is reported. EDCC Study Group, Eye Disease Case-Control Study Group; Type of study: C-C, case-control study; P-B, population-based study; Correlates under investigation: serum lutein (L) and total zeaxanthin (Z), concentrations measured by high performance liquid chromatography (HPLC); Use of L and/or, Z and/or MZ supplements: Data not recorded; supplement data not collected during study visit; Data not factored into analyses, supplement data was collected but not used in analysis between age-related macular degeneration subjects and control subjects; Data recorded and factored into analyses, data of supplement use was collected during study and factored into analyse, exclusion of subjects consuming supplements, or confirmation that none of the subjects consumed supplements; *Serum concentrations of L and Z were collected in 1994-1998; **Inverse relationship, though marginal.
vision-optimizing\textsuperscript{19} nutritional interventions to be offered to subjects who would otherwise be unaware that they are afflicted with the non-advanced form of AMD, and would likely be justified by the financial savings accruing from early detection of disease.

The principal strengths of this study rest on its large population-based sample size ($n = 4,423$). Also, and somewhat uniquely, this cohort represents a racially homogeneous sample (99\% were white and Irish born). However, this study also has limitations, including the fact that the TILDA study sample excluded individuals who were institutionalized (e.g. living in nursing homes) and, also, individuals aged 75 years and older were underrepresented in the sample.\textsuperscript{24} Although diurnal variation of serum concentrations of L and Z has been reported to be negligible, there are seasonal effects for serum concentrations of L and Z, which differs between individuals.\textsuperscript{53} Moreover, dietary data would have enriched the analysis and interpretation of our data, given that we were studying compounds, which are entirely of dietary origin.

In conclusion, we report that a belief that one suffers from AMD (irrespective of whether that belief is founded or unfounded) is associated with supplement use and consequentially higher plasma concentrations of L. This finding represents a hitherto unappreciated confounding variable for interpretation of cross-sectional epidemiologic studies investigating relationship between AMD and macular pigment’s constituent carotenoids.

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Declaration of interest

S Beatty and JM Nolan are Directors of Nutrasight Consultancy Ltd, where they do consultancy work for companies with an interest in supplements for eye care. R Moran, J Stack, AM O’Halloran, J Feeney, KO Akuffo, T Peto and RA Kenny report no conflicts of interest. The authors alone are responsible for the content and writing of the paper.

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