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Conical Horn Antenna with Spiral Phase Plate for Difference Pattern Generation

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Abstract—This paper describes the performance characteristics and experimental validation of a compact conical horn antenna with a dielectric cylinder spiral phase plate attached at its aperture. This performs the function of a spatial phase imprinting device creating a helical wave-front which results in a null in the far field radiation pattern of the antenna assembly.

Index Terms—conical horn antenna; spiral phase plate; helical beam;

I. INTRODUCTION

Quasi optical arrangements using spiral phase plate technology has been recently reported for helical beam formation [1]. In this paper we use a single conical horn with dielectric cylinder spiral phase plate (SPP) inserted at its aperture to synthesize difference beam patterns at microwave frequencies without recourse to a less compact quasi optical assembly. Section II details the operation principle of the antenna, section III describes the performance characteristics and section IV presents experimental validation of the design.

II. OPERATION PRINCIPLE

A. Dielectric cylinder spiral phase plate

The dielectric cylinder spiral phase plate acts to produce a 180° phase difference at any two given points that are radially opposite to each other. A CST model of the dielectric cylinder spiral phase plate is shown in Fig. 1. The spiral phase plate has one planar and one spiral surface. The spiral surface forms one period of a helix, terminating in a step discontinuity of height \( s = 60 \text{ mm} @ 10 \text{ GHz} \). The dielectric cylinder spiral is milled from a solid cylindrical dielectric block of Polypropylene dielectric permittivity, \( \varepsilon_r = 2.3 \) \((\tan\delta=0.0004)\). Upon transmission through the phase plate, a signal of wavelength \( \lambda \) is subject to a phase delay, \( \psi \), which depends on the azimuthal angle, \( \phi \), as [1]:

\[
\psi = \frac{n_1 - n_2}{\lambda} s \phi
\]

(1)

where \( n_1 \) and \( n_2 \) are the refractive indices of the phase-plate dielectric material and surrounding media (air) respectively, and \( s \) is the physical step height at \( \phi = 0 \) (Fig. 1). For a Laguerre-Gaussian mode, the total phase delay around the phase-plate must be an integer multiple of \( 2\pi \), i.e. \( 2\pi l \). Thus, to produce a Laguerre-Gaussian mode of the first order, \( l = 1 \), the physical height \( s \) of the step in the spiral phase-plate is given by:

\[
s = \frac{l \lambda}{n_1 - n_2}
\]

(2)

Figure 1. CST model of a dielectric cylinder spiral phase plate \( s = 60 \text{ mm} \).

B. Antenna

A potter horn antenna [2] was designed, Fig. 2. This type of horn is used since it produces an axi-symmetrical field pattern, and can therefore be made to radiate LP or CP when suitably excited. The horn is designed by modifying a standard conical horn antenna such that a small component of the dominant \( \text{TE}_{11} \) mode is converted to the \( \text{TM}_{11} \) mode within the waveguide structure. The effect of this is to make the aperture illumination more uniform. A change in the waveguide dimensions near the horn throat as shown in Fig. 2 provides the simplest means to generate this additional mode component. Attaching the dielectric spiral plate at the aperture of the horn produces a spatial phase reversal across half of the antenna aperture. This translates to a null in the far field radiation pattern of the conical horn antenna.
III. ANTENNA PERFORMANCE

The antenna performance at 10 GHz has been validated by means of CST full-wave simulations. A CST model of the spiral phase plate attached to the conical horn antenna is shown in Fig. 3. The diameter of the spiral phase plate is that of the conical horn. The uniform back side of the dielectric spiral phase plate is extended inside the horn for mechanical attachment. The simulated return loss at the input of the antenna versus frequency is plotted in Fig. 4 for the case of the conical horn and the conical horn with the attached SPP. The presence of the SPP at the aperture of the conical horn does not lead to major deterioration of the input matching of the antenna structure. Fig. 5 shows the simulated normalized radiation patterns with and without the addition of the SPP for the a) E-plane and b) H-plane cuts of the antenna far-field patterns. A null in the broadside of the antenna pattern can be observed and presents a -29 dB and -17 dB difference at broadside for the E-plane and H-plane, respectively. When compared to the conical horn antenna on its own one can observe the operation of the SPP to synthesize the difference pattern.

IV. EXPERIMENTAL VALIDATION

A prototype has been fabricated and experimentally tested, see Fig. 7 for a photograph of the assembly.
Fig. 7 plots the measured input return loss of the antenna with the SPP attached to its aperture, simulated results are superimposed. The measured radiation patterns at 10 GHz for both E- and H-plane cuts are shown in Fig. 8, here good agreement is observed.

A linearly polarized horn antenna loaded with a spiral phase plate operating at 10 GHz has been designed and experimentally validated. The SPP when attached to the horn antenna results in difference pattern far field radiation. The operation principle of the SPP and the antenna structure has been outlined. Experiments have been carried out and results are reported and compared with the simulations with good agreement. Augmenting the capabilities of the antenna for circular polarization (CP) operation is currently considered.

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REFERENCES
