Measuring the Characteristic Function of the Work Distribution


Published in:
Physical Review Letters

Document Version:
Publisher's PDF, also known as Version of record

Queen's University Belfast - Research Portal:
Link to publication record in Queen's University Belfast Research Portal

Publisher rights
© 2013 American Physical Society

General rights
Copyright for the publications made accessible via the Queen's University Belfast Research Portal is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

Take down policy
The Research Portal is Queen's institutional repository that provides access to Queen's research output. Every effort has been made to ensure that content in the Research Portal does not infringe any person's rights, or applicable UK laws. If you discover content in the Research Portal that you believe breaches copyright or violates any law, please contact openaccess@qub.ac.uk.
Measuring the Characteristic Function of the Work Distribution

L. Mazzola, G. De Chiara, and M. Paternostro

Centre for Theoretical Atomic, Molecular and Optical Physics, School of Mathematics and Physics, Queen’s University, Belfast BT7 1NN, United Kingdom

(Received 11 February 2013; revised manuscript received 25 March 2013; published 7 June 2013)

We propose an interferometric setting for the ancilla-assisted measurement of the characteristic function of the work distribution following a time-dependent process experienced by a quantum system. We identify how the configuration of the effective interferometer is linked to the symmetries enjoyed by the Hamiltonian ruling the process and provide the explicit form of the operations to implement in order to accomplish our task. We finally discuss two physical settings, based on hybrid optomechanical-electromechanical devices, where the theoretical proposals discussed in our work could find an experimental demonstration.

DOI: 10.1103/PhysRevLett.110.230602

PACS numbers: 05.70.Ln, 05.30.Rt, 05.40.–a, 64.60.Ht

Thermodynamics is one of the pillars of the natural sciences. Its principles can predict the occurrence and efficiency of complex chemical reactions and biological processes. In physics, the conduction of heat across a medium or the concept of arrow of time are formulated thermodynamically. In information theory, the definitions of information and entropy are also given in thermodynamical terms. Moreover, the tightness of the link between information and thermodynamics can be deduced from the interpretation of the landmark embodied by Landauer’s principle [1].

The dexterity characterizing the current experimental control at the microscopic scale opens up tantalizing questions, the most pressing probably being the following: what happens to thermodynamics when we deal with the nonquasistatic dynamics of quantum systems brought out of equilibrium? An invaluable tool for the formulation of an answer in this sense has been provided with the formulation of nonequilibrium fluctuation relations and their quantum extension [2,3], which has recently enabled investigations at the crossroad of quantum physics, thermodynamics, and information theory [4]. This includes proposals for experimental quantum thermal machines [5], the study of the link between fluctuation relations and critical phenomena in many-body systems [6,7], the verification of the Jarzynski equality [8–10], and the extension to open dynamics [11].

The verification and use of the Jarzynski inequality [10,11] requires the determination of the work distribution following a process undergone by a system, a goal that needs feasible experimental strategies. In Ref. [8,12], two seminal proposals have been made: Huber et al. suggested a scheme based on the performance of projective energy measurements on the trapped-ion system undergoing a process. Their method uses an ingenious “filtering scheme” whose implementation, unfortunately, can be of significant practical difficulty. Heyl and Kehrein [12], on the other hand, showed that optical spectra can be used to measure the work distribution of specific nonequilibrium processes. However, their method only applies to sudden quenches and is ineffective for general processes.

In this Letter we propose a way to infer the quantum statistics of a work distribution by relying on an interferometric approach that delegates the retrieval of the information we are after to routine measurements performed on a finite-size ancilla. We demonstrate that a qubit-assisted Ramsey-like scheme is effective in fully determining the characteristic function of the work distribution following a general quantum process. The latter contains the same information as the work distribution itself and can be equally used in the framework of fluctuation relations for an out-of-equilibrium configuration. We identify the relation between symmetries in the quantum process and the corresponding Ramsey interferometer. Differently from Ref. [8], our scheme does not rely on a specific setting and, by delegating the retrieval of information to single-qubit measurements, bypasses the problem of energy-eigenstate projections. In quite a stark contrast with Ref. [12], our proposal is valid for any process and can be used for a vast range of physical situations (cf. Ref. [13] for a related analysis on a trapped ion). As an illustration, we apply it to a micro- or nanomechanical oscillator coupled to a two-level system and undergoing a displacement in phase space, which is a situation of strong experimental interest. Designing viable ways to access quantum statistics of nonequilibrium processes is a significant step towards the grounding of this fascinating area and the spurring of potential ramifications in fields such as quantum control and foundations of quantum mechanics [4,14,15].

Quantum fluctuation relations: a brief review.—Here we give a brief summary of the formalism that will be used throughout this work. We consider a process undergone by system $S$ and described by a Hamiltonian $\hat{H}(\lambda_t)$ depending on a work parameter $\lambda_t$, which is assumed to be externally controlled. At $t = 0$, $S$ is in contact with a reservoir and initialized in a thermal state $\rho_S^{th}(\lambda_0) = e^{-\beta S_H(\lambda_0)}/Z(\lambda_0)$ at
inverse temperature $\beta$ and work parameter $\lambda_0$ [$Z(\lambda) = \text{Tr} e^{-\beta \hat{H}(\lambda)}$ is the partition function]. At $t = 0^+$, we detach $S$ from the reservoir and perform a process consisting of the change induced in $\lambda_t$ to its final value $\lambda_f$. It is convenient to decompose the Hamiltonians connected by the process as $\hat{H}(\lambda_0) = \sum_n E_n(\lambda_0) |n\rangle \langle n|$ and $\hat{H}(\lambda_f) = \sum_m E_m(\lambda_f) |m\rangle \langle m|$, where $(E_n, |n\rangle)$ and $(E_m, |m\rangle)$ are the $n$th and $m$th eigenvalue-eigenstate pair of the initial [final] Hamiltonian. The corresponding work distribution can be written as [3] $P_{\omega}(W) := \sum_n p_n^0 p_n^f \delta (W - (E_n - E_n^0))$. Here, we have introduced the probability $p_n^0$ that the system is found in state $|n\rangle$ at time $t = 0$ and the conditional probability $p_n^f$ to find it in $|m\rangle$ if it was initially in $|n\rangle$ and evolved under the action of the propagator $\hat{U}_\omega$. $P_{\omega}(W)$ encompasses the statistics of the initial state (given by $p_n^0$) and the fluctuations arising from quantum measurement statistics (given by $p_n^f$). For our purposes, it is convenient to define the characteristic function of $P_{\omega}(W)$ [16],

$$\chi(u, \tau) = \int dW e^{iuW} P_{\omega}(W) = \text{Tr} [U_\tau^+ e^{iu\hat{H}(\lambda_0)} \hat{U}_\omega e^{-iu\hat{H}(\lambda_0)} \rho_S^{10}(\lambda_0)],$$  

(1)

From Eq. (1), the Jarzynski equality [10] is found as $\chi(i\beta, \tau) = \langle e^{-\beta W} \rangle = e^{-\beta \Delta F}$. The characteristic function is also crucial for the Tasaki-Crooks relation $(\chi')^i(\tau) = \Delta F/\tau$. We then apply $\hat{U}_\omega$ to the state of the system $|0\rangle_A$ and leave it unaffected otherwise. The characteristic function is of the form of the Fig. 1(a). The characteristic function establishes quantum correlations between $A$ and $S$ as shown by the fact that information on $S$ can be retrieved from the ancilla as

$$\rho_A = \text{Tr}_S [\hat{H}_A \hat{G}(u) \hat{V}(u)(\rho_S^{10} \otimes |+\rangle\langle+|_A) \hat{V}^\dagger(u) \hat{G}^\dagger(u) \hat{H}_A]$$

(4)

with $\alpha = \text{Re} \chi_x$ and $\nu = \text{Im} \chi_x$. This proves the effectiveness of our protocol for the measurement of $\chi_x(u)$, which is achieved by measuring the (experimentally straightforward) longitudinal and transverse magnetization $\langle \hat{S}_{z,A} \rangle$ and $\langle \hat{S}_{z,A} \rangle$ of $A$.

General protocol.—We now relax the previous assumption on the form of the Hamiltonian and consider the general case where $[\hat{H}_i, \hat{H}_j] \neq 0$ and $[\hat{U}_\tau, \hat{H}_i(u)] \neq 0$. Correspondingly, the characteristic function takes the form

$$\chi(u, \tau) = \int dW e^{iuW} P_{\omega}(W) = \text{Tr} [U_\tau^+ e^{iu\hat{H}(\lambda_0)} \hat{U}_\omega e^{-iu\hat{H}(\lambda_0)} \rho_S^{10}(\lambda_0)].$$

(2)

Graphical illustrations of the quantum circuit for the measurement of $\chi_x(u)$. The ancilla $A$ is a qubit initialized in $|0\rangle_A$ and undergoing a Hadamard gate $\hat{H}$. System $S$ is prepared in a thermal state $\rho_S^{10}$ and is subjected to the local transformation $\hat{V}$. See the body of the manuscript for the explicit form of the gates (whose dependence on $u$ has been omitted here). (b) Quantum circuit illustrating the scheme for the most general process undergone by $S$. In both panels we show the symbol for conditional A-S gates controlled by the state of the ancilla. In panel (b) we also picture the symbol for a full inversion gate as given by $\hat{S}_{z,A}$.
in Eq. (1) and the interferometric approach illustrated above still applies, the only difference being the form of the controlled operation to be applied on the $S$ state. Explicitly, we should implement

$$
\hat{G}(u, \tau) = \hat{U}_e e^{-i\hat{H}_m u} \otimes |0\rangle_\Lambda + e^{-i\hat{H}_m u} \hat{U}_s \otimes |1\rangle_\Lambda, \quad (5)
$$

which can be decomposed into local transformations and $A$-controlled gates as $\hat{G}(u, \tau) = (1_S \otimes \hat{\sigma}_{A}) \hat{G}_2(u, \tau) \times (1_S \otimes \hat{\sigma}_{A})\hat{G}_1(u, \tau)$ [cf. Fig. 1(b)] with

$$
\hat{G}_1(u, \tau) = 1_S \otimes |0\rangle_\Lambda + e^{-i\hat{H}_m u} \hat{U}_s \otimes |1\rangle_\Lambda,
$$

$$
\hat{G}_2(u, \tau) = 1_S \otimes |0\rangle_\Lambda + \hat{U}_e e^{-i\hat{H}_m u} \otimes |1\rangle_\Lambda. \quad (6)
$$

Using the same preparation of $A$ as above and the Hadamard transforms, we obtain a reduced state identical to the second line of Eq. (4) with $\alpha \to \text{Re} \chi(u, \tau)$ and $\nu \to \text{Im} \chi(u, \tau)$.

**Physical examples.**—Two situations of current experimental interest can be used to illustrate our main findings. They are both based on the hybrid coupling between a two-level system and a mechanical oscillator, which can be either microscopic (in a cavity optomechanics setup) or nanoscopic (as in electromechanics). We now show how to achieve the Hamiltonians regulating the processes that we have so far described in both scenarios and illustrate the principles of our proposal by calculating the corresponding characteristic function.

We start from a microscopic setting where a three-level atom in a $\Lambda$ configuration is coupled to a single-mode cavity having a movable mirror and pumped by a laser at frequency $\omega_p$. The atom is driven by a second field (frequency $\omega_r$) entering the cavity radially [cf. Fig. 2(a)]. The logical states $|0\rangle$, $|1\rangle$ of $A$ are encoded in the fundamental atomic doublet ($|e\rangle$ being the common excited state).

The scheme includes the driving (at rate $\Omega$) of the transition $|1\rangle \leftrightarrow |e\rangle$ by the field at frequency $\omega_r$. The transition $|0\rangle \leftrightarrow |e\rangle$ is guided by the cavity field (frequency $\omega_c$) at rate $g$. Both the fields are detuned by $\delta$ from $|e\rangle$ and $\omega_r$ and $\omega_c$, respectively.

We then move to a rotating frame defined by the operator $e^{i\sum\lambda \hat{c}^\dagger \hat{c}} + \omega_r |e\rangle \langle e| + \omega_c |0\rangle \langle 0|_\Lambda$ (we assume $h = 1$ throughout the Letter) with $(\hat{c}, \hat{c}^\dagger)$ the operators of the cavity field.

We thus get

$$
\hat{H}_\text{micro} = \omega_p \hat{b}^\dagger \hat{b} + \lambda (\hat{b}^\dagger + \hat{b}) \otimes |1\rangle_\Lambda
$$

with

$$
\lambda = g \sqrt{\Delta^2 + \delta^2},
$$

where $\Delta$ is the Raman transition, the virtual quanta resulting from the atom-cavity field interaction are transferred (by the cavity field) to $S$. The state of the latter is correspondingly displaced in phase space, in a way controlled by the state of $A$. By driving the cavity with a bichromatic pump with frequencies $\omega_p \pm \omega_S/2$ and relative phase $\phi$, the effective coupling between $A$ and $S$ becomes such that displacements in any direction of the phase space of the movable mirror can be arranged $[23–25]$. This includes the possibility to fully invert the sign of $\lambda$ by arranging for $\phi = \pi$. Moreover, considering a time-dependent amplitude of the driving field, we get $\lambda \rightarrow \lambda_t = \eta g \sqrt{\Delta^2 + \delta^2} \Delta^2$, so that we finally obtain

$$
\hat{H}_\text{micro}(t) = \omega_p \hat{b}^\dagger \hat{b} + \lambda_t (\hat{b}^\dagger e^{i\phi} + \hat{b} e^{-i\phi}) \otimes |1\rangle_\Lambda. \quad (7)
$$

The state of $A$ can be manipulated and reconstructed through an optical probe and standard tools in quantum optics. Current progress in the fabrication of mechanical oscillators allow for very small decoherence rates, while optical cavities with large quality factors are used in optomechanical experiments $[21]$, thus making a quasiunitary optical probe picture plausible. However, in order to provide a full assessment of the feasibility of our scheme, we will soon provide a discussion of decoherence effects.

A similar effective model is obtained by considering the system shown in Fig. 2(b), which involves a...
nanomechanical oscillator (a nanobeam) coupled capacitively to a Cooper-pair box (CPB) operating in the charge-qubit regime at the so-called charge degeneracy point [26]. In such conditions, the dynamics of the CPB can be approximated to that of a two-level system encoded in the space spanned by states $|a\rangle$, which are symmetric and antisymmetric superpositions of states with exactly 0 and 1 excess Cooper pairs in the superconducting island shown in Fig. 2(b), and encode our ancilla. The natural Hamiltonian of the system reads $\hat{H}_1 = (\tilde{Q} - Q_g(t))^2/(2C) - E_J\langle a_+|\langle a_+| - |a_+\rangle\langle a_-\rangle + \omega_s b^\dagger b$ with $\tilde{Q}$ the canonical charge operator of the CPB, $C$ the capacitance of the island, $Q_g(t) = C_g V_g(t) + C_V V(t)$ the gate charge, $E_J$ the Josephson energy, $\omega_s$ the frequency of the oscillator (as before) [26], and $V_{\text{drive}}$ the gate [drive] voltage. For a charge qubit at the degeneracy point, an external magnetic flux can set the conditions for negligible Josephson energy with respect to the other rates of the Hamiltonian [26]. By defining $\hat{S}_{\text{LA}} = |a_+\rangle\langle a_+| + |a_-\rangle\langle a_-|$, expanding $\hat{H}_1$ in series of the ratio between the actual position of the oscillator and its equilibrium distance from the CPB (the amplitude of the oscillations is assumed small enough that only first-order terms are retained) and adjusting the voltages so that $Q_g(t) \approx 0$, the Hamiltonian of the system becomes $\hat{H}_{\text{nano}}(t) = \omega_s b^\dagger b + \lambda_s (b + b^\dagger) \otimes \hat{S}_{\text{LA}}$ (the form of $\lambda_s$ in this case is inessential for our tasks) [27,28]. The state of $A$ can be processed (measured) tuning $V_g(t)$ (using single-electron transistors) [26].

Both models describe a harmonic oscillator driven by an external force that depends on the state of the ancilla. From now on, in order to fix the ideas, we concentrate on the model embodied by Eq. (7). The process that we aim to discuss here is embodied by a rapid change $\lambda_0 = 0 \rightarrow \lambda_s$ in the work parameter entering the system’s Hamiltonian $\hat{H}_{\text{osc}}(t) = \omega_s b^\dagger b + \lambda_s (b + b^\dagger)$, which implements a displacement of the state of $S$ in its associated phase space. The fact that, contrary to our assumptions so far, $A$ conditions only the term $\lambda_s (b + b^\dagger)$ in $\hat{H}_{\text{micro}}(t)$ and not the whole $\hat{H}_{\text{osc}}(t)$ results in gates $\hat{G}(u, \tau)$ and $\hat{G}_{1,2}$ that are slightly different from those given in Eq. (6). However, a detailed calculation shows that such differences are inessential to the effectiveness of the proposed protocol. While we refer to the Supplemental Material presented in Ref. [29] for a rigorous and detailed analysis, for the sake of completeness here we provide a brief account of the form of such conditional gates. More specifically, the reconstruction of the $\chi(u, \tau)$ associated with the process at hand is possible using the conditional gate $\hat{G}(u, \tau) = (1_S \otimes \hat{S}_{\text{LA}}) \hat{G}_2(u, \tau) \times (1_S \otimes \hat{S}_{\text{LA}}) \hat{G}_1(u, \tau)$ with $\hat{G}_1(u, \tau) = \hat{G}(u) \hat{K}(\tau) e^{i{\hat{H}_{\text{free}}}}$ and $\hat{G}_2(u, \tau) = \hat{K}(\tau) e^{i{\hat{H}_{\text{free}}}}$. Here $\hat{H}_{\text{free}} = \omega_s b^\dagger b$, $\hat{K}(\tau) = \hat{T} e^{-i \int_0^\tau \hat{H}_{\text{micro}}(t) dt}$ (in Ref. [29] we give the explicit form of such gate), $\hat{T}$ is the time-ordering operator, and

$$\hat{G}(u) \equiv e^{-i\hat{H}_{\text{micro}}(\tau) u} = e^{-i\hat{H}_{\text{free}} u} |0\rangle_A \langle 1| + e^{-i\hat{H}_{\text{free}} u} |1\rangle_A \langle 0|, \quad (8)$$

which is obtained by setting the work parameter to its final value $\lambda_s$ and evolving for a time $u$. A calculation based on phase-space methods allows us to evaluate the state of $A$ associated with the process. Following our protocol and using values of the parameters in typical ranges for the suggested microscopic experimental scenario [22], an initial thermal state of mean occupation number $\bar{n}$, and a rapid change of $\lambda_s$, we find the behavior of $\chi(u, \tau)$ shown in Fig. 2(c).

Let us now briefly assess the case embodied by $\hat{H}_{\text{nano}}(t)$. This differs from the one illustrated above due to the fact that, differently from $\hat{H}_{\text{micro}}(t)$, the $\hat{S}_{\text{LA}}$ operator enters the coupling with the system. In principle, this makes the implementation of our protocol different from the micro mechanical case. However, as illustrated in [29], such differences can be removed using local operations applied to the CPB and the nanobeam independently. This means that the Hamiltonian for the nanomechanical configuration can be turned into a model formally equivalent to $\hat{H}_{\text{micro}}(t)$, thus enabling the use of the same gates identified above without the need to redesign the whole protocol [cf. Ref. [29] for a formal proof].

To evaluate the feasibility of our proposal, it is important to consider the effect of decoherence. The most critical influence would come from dephasing affecting the quantum coherences in the $A$ state, which are key to the success of our protocol. This can be easily included in our analysis by considering an exponential decay (at rate $\Gamma$) of the off-diagonal elements of the state of $A$ between the gates $\hat{G}_{1,2}$ (we assume that local rotations are performed so quickly that no detrimental effect would be observed). This results in the decay of $\chi(u, \tau)$, as shown in Fig. 2(c), where quite a large damping rate is considered. Yet, the features of the characteristic function remain fully revealable. A different analysis holds for a decoherence-affected process undergone by the system. As already discussed, this requires a redefinition of $\chi(u, \tau)$ in terms of Kraus operators, as recently shown by Albash et al. in [9]. Our preliminary assessment shows that the general working principles of our interferometric scheme hold unchanged even in this case. A full analysis will be presented in Ref. [29].

Conclusions.—We have proposed an interferometric protocol for the measurement of the characteristic function of the work distribution corresponding to a process enforced on a system. The scheme requires both local and $A$-controlled operations on $S$, and shares similarities with Ramsey-based strategies for parameter estimation. Although our proposal bears no dependence on a specific experimental setting and is applicable to any system allowing for a controllable system-ancilla interaction and the
agile measurement of $A$ [13], we have illustrated it discussing the case of a mechanical oscillator undergoing a phase-space displacement and coupled to an ancilla. This embodies an interesting out-of-equilibrium quantum dynamics of current strong experimental interest. As $\chi(u, \tau)$ is a key element in the framework of quantum fluctuation relations, designing viable strategies for its inference is an important step forward for the grounding of out-of-equilibrium quantum thermodynamics. Our proposal contributes to such a quest by opening up the possibility for an experimental verification of the connections between out-of-equilibrium quantum statistics and criticality in a quantum many-body system [6,14,19]. Interesting routes for the application of our protocol include the study of the properties of quantum thermal machines [15].

We are grateful to R. Dorner, J. Goold, K. Modi, F. L. Semião, R. M. Serra, D. Soares-Pinto, and V. Vedral for invaluable discussions. L. M. and M. P. thank the Universidade Federal do ABC, Sao Paulo (Brazil) for hospitality during the completion of this work. L. M. is supported by the EU through a Marie Curie IEF program. M. P. thanks the UK EPSRC for support from the Career Acceleration program and a grant awarded under the “New Directions for Research Leaders” initiative (Grant No. EP/G004579/1).

[22] G. Vacanti, M. Paternostro, G. M. Palma, M. S. Kim, and V. Vedral (to be published).
[28] The relaxation of the assumption $Q_\theta(t) \sim 0$ simply introduces a free term proportional to $\hat{S}_\theta$ that does not affect our protocol.